

BERESKIN & PARR

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PTO/SB/05 (08-00)

Please type a plus sign (+) inside this box → ☒Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	11229-6
First Inventor	LEUNG, Thomas
Title	SHEAR WALL PANEL
Express Mail Label No.	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **26**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **13**]
5. Oath or Declaration [Total Pages **1**]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)of prior application No. **09** / **419,934**

Prior application information.

Examiner: **L. Callo**Group / Art Unit: **3635**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a copy of the prior application is not omitted from the submitted application parts.

☒ Customer Number or Bar Code Label**001069**
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City	Toronto	State	Ontario	Zip Code	M5H 3Y2
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Name (Print/Type)	Scott R. Pundsack	Registration No. (Attorney/Agent)	47,330
Signature	<i>Scott Pundsack</i>		Date June 14, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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Patent fees are subject to annual revision.

Complete if Known

Application Number	n/a
Filing Date	n/a
First Named Inventor	LEUNG, Thomas
Examiner Name	
Group Art Unit	
Attorney Docket No.	11229-6

TOTAL AMOUNT OF PAYMENT	(\$)	435.00
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METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number
- Deposit Account Name
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
-
2. ☒ Payment Enclosed: #2946
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Cost of Sales	Cost of sales is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Cost of sales is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
3. Impairment of Financial Assets	Impairment of financial assets is recognized when there is a significant increase in the credit risk of the asset.	Impairment of financial assets is recognized when there is a significant increase in the credit risk of the asset.
4. Impairment of Non-Financial Assets	Impairment of non-financial assets is recognized when the carrying amount of the asset exceeds its recoverable amount.	Impairment of non-financial assets is recognized when the carrying amount of the asset exceeds its recoverable amount.
5. Provisions	Provisions are recognized when there is a present obligation as a result of a past event, and it is probable that an outflow of resources will be required to settle the obligation.	Provisions are recognized when there is a present obligation as a result of a past event, and it is probable that an outflow of resources will be required to settle the obligation.
6. Financial Instruments	Financial instruments are recognized when the entity has entered into a contract that gives rise to a financial asset or financial liability.	Financial instruments are recognized when the entity has entered into a contract that gives rise to a financial asset or financial liability.
7. Share-based Payments	Share-based payments are recognized when the entity has granted an equity instrument to a person or entity, and the instrument is exercisable or convertible into equity instruments.	Share-based payments are recognized when the entity has granted an equity instrument to a person or entity, and the instrument is exercisable or convertible into equity instruments.
8. Leases	Leases are recognized when the entity has entered into a contract that gives rise to a lease, and the lease is not a short-term lease or a lease of low value.	Leases are recognized when the entity has entered into a contract that gives rise to a lease, and the lease is not a short-term lease or a lease of low value.
9. Intangible Assets	Intangible assets are recognized when the entity has acquired an intangible asset, and the asset is identifiable and has a future economic benefit.	Intangible assets are recognized when the entity has acquired an intangible asset, and the asset is identifiable and has a future economic benefit.
10. Provisions for Contingent Liabilities	Provisions for contingent liabilities are recognized when there is a present obligation as a result of a past event, and it is probable that an outflow of resources will be required to settle the obligation.	Provisions for contingent liabilities are recognized when there is a present obligation as a result of a past event, and it is probable that an outflow of resources will be required to settle the obligation.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
101	710	201	355	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee

Fee Paid

SUBTOTAL (1)	(\$)	355.00
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2. EXTRA CLAIM FEES

		Extra Claims		Fee from below	Fee Paid
Total Claims	11	-20**	X		0.00
Independent Claims	5	- 3**	X	40.00	80.00
Multiple Dependent					

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Cost of Sales	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.
3. Impairment of Financial Assets	Impairment of financial assets is recognized when there is a significant increase in the credit risk of the asset, and the impairment is measured at the difference between the carrying amount and the present value of the expected cash flows.	Impairment of financial assets is recognized when there is a significant increase in the credit risk of the asset, and the impairment is measured at the difference between the carrying amount and the present value of the expected cash flows.
4. Provisions	Provisions are recognized when there is a present obligation as a result of a past event, and the amount of the provision is measured at the best estimate of the amount required to settle the obligation.	Provisions are recognized when there is a present obligation as a result of a past event, and the amount of the provision is measured at the best estimate of the amount required to settle the obligation.
5. Share-based Payments	Share-based payments are recognized when the entity grants or exercises an equity-based payment, and the amount is measured at the fair value of the equity instrument.	Share-based payments are recognized when the entity grants or exercises an equity-based payment, and the amount is measured at the fair value of the equity instrument.
6. Leases	Leases are recognized when the entity enters into a lease agreement, and the amount is measured at the present value of the lease payments.	Leases are recognized when the entity enters into a lease agreement, and the amount is measured at the present value of the lease payments.
7. Financial Instruments	Financial instruments are recognized when the entity enters into a financial instrument, and the amount is measured at the fair value of the instrument.	Financial instruments are recognized when the entity enters into a financial instrument, and the amount is measured at the fair value of the instrument.
8. Income Tax	Income tax is recognized when the entity is liable for income tax, and the amount is measured at the best estimate of the amount required to settle the liability.	Income tax is recognized when the entity is liable for income tax, and the amount is measured at the best estimate of the amount required to settle the liability.
9. Other	Other items are recognized when the entity enters into a transaction, and the amount is measured at the fair value of the transaction.	Other items are recognized when the entity enters into a transaction, and the amount is measured at the fair value of the transaction.

Fee Code	Fee (\$)	Fee Code	Fee (\$)
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103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$)	80.00
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FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Small (\$)	Entity Small Fee Code	Entity Small Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid	SUBTOTAL (3)	(\$)	0.00
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SUBMITTED BY

Name (Print/Type)	Scott R. Pundsack
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Signature

Registration No.
(Attorney/Agent)

47,330

Complete (if applicable)

Telephone	(416) 364-7311
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Date _____

June 14, 2001

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